



DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: DANIEL PHILIP CARLOS
194 KILLDEER CIRCLE
APT. 208
MOSCOW MILLS, MO 63362

TRACKING ID: 407489 E

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Daniel Philip Carlos and the Consumer Affairs Division of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374, 375 and 385, RSMo, include the supervision and regulation of the business of Motor Vehicle Extended Service Contracts ("MVESC"),

WHEREAS, the Consumer Affairs Division ("Division") of the Department is charged

with investigating producers and companies engaged in the business of motor vehicle extended service contracts pursuant to Sections 374.085, 374.190, and 385.216, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to MVESC;

WHEREAS, Daniel Philip Carlos (“Carlos”), has applied for a MVESC producer license with the Department, pursuant to Chapter 385, RSMo;

WHEREAS, the Division has received information concerning Carlos engaging in the solicitation of several MVESC without a valid MVESC license issued by this Department, which is a violation of Section 385.206.1, RSMo, and subjects Carlos to enforcement action by the Director;

WHEREAS, Carlos has been informed of his right to counsel and his right to contest any disciplinary action against his MVESC producer license;

WHEREAS, Carlos acknowledges for the purposes of this Agreement and for purposes of any future action by the Director, the Department, or the Division based on any additional violation of insurance laws, MVESC laws or regulations by Carlos, in which action the Director or the Division alleges that the violation described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Carlos are committed knowingly, intentionally or in conscious disregard of the law, that he engaged in the sale of several MVESC without a valid MVESC license issued by this Department in violation of Section 385.206.1, RSMo:

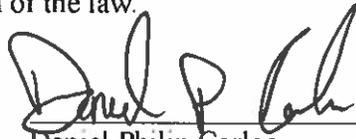
NOW, THEREFORE, in lieu of any recommendation or initiation by the Director or the Division of any other action available to the Director or the Division, based on the violations cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Carlos does hereby voluntarily and knowingly surrender and forfeit the sum of five hundred dollars (\$500.00)

such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280;

Carlos shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than March 10, 2023;

The parties agree that, should the Director, the Department, or the Division in the future allege any additional violation of the insurance or motor vehicle extended service contract laws or regulations by Carlos, nothing in this Agreement shall preclude the Director, the Department, or the Division from introducing Carlos' admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

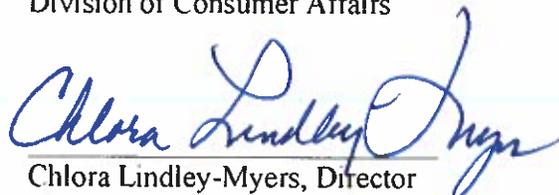
DATED: 3-7-2023


Daniel Philip Carlos
NPN# 17404723

DATED: 3/14/23


Carrie Couch, Director
Division of Consumer Affairs

DATED: 03-14-2023


Chlora Lindley-Myers, Director
Department of Commerce and Insurance

Return original to:
Sheri D. Sloan
Department of Commerce and Insurance
PO Box 4001
Jefferson City, MO 65102