



## DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: STEVEN ROLDAN  
9515 FARM RD. 2060  
PURDY, MO 65734

TRACKING ID# 422365

### VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed to by Steve Roldan ("Roldan") and the Division of Consumer Affairs ("Division") of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Roldan is an insurance producer licensee with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information that Roldan misappropriated premium in violation of Missouri Regulation 20 CSR 700-1.140(D) and that Roldan failed to adhere to his fiduciary responsibility to the insured and to the insurer in violation of Section 375.051.1 and Section 375.051.2, RSMo, and subjects Roldan to enforcement action by the Director;

WHEREAS, Roldan has been informed of his right to counsel and of his right to contest any attempt by the Department to discipline his producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Roldan acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on any additional violation of the insurance laws or regulations by Roldan, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Roldan are committed knowingly, intentionally or in conscious disregard of the law, that Roldan misappropriated premium in violation of Missouri Regulation 20 CSR 700-1.140(D) and that Roldan failed to adhere to his fiduciary responsibility to the insured and to the insurer in violation of Section 375.051.1 and Section 375.051.2, RSMo,

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Roldan does hereby voluntarily and knowingly surrender and forfeit the sum of one thousand five dollars (\$1,500.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Roldan shall submit this sum to the Department by cashier's check or money order made payable to the State School Moneys Fund no later than September 4, 2023.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Roldan, nothing in this Agreement shall preclude the Director or the Division from introducing Roldan's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

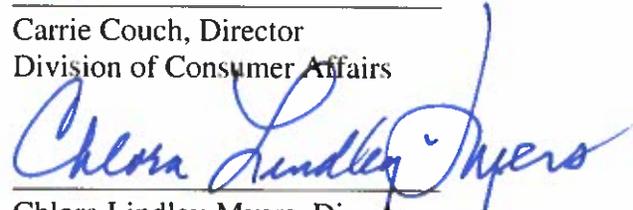
DATED: 9/4/23

  
Steven Roldan  
NPN 17634860

DATED: 10/2/23

  
Carrie Couch, Director  
Division of Consumer Affairs

DATED: 10.03.2023

  
Chlora Lindley-Myers, Director  
Department of Commerce and Insurance

Return original to:  
Jonathan Clements  
Missouri Department of Commerce and Insurance  
PO Box 4001  
Jefferson City, MO 65102