

# STATE OF MISSOURI



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DEPT. OF INSURANCE &  
FINANCIAL INSTITUTIONS &  
PROFESSIONAL REGISTRATION

## DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

In Re: Cascade Risk Placement, Inc.  
320 120 AV NE Suite 100  
Bellevue, WA 98005

File Number 09A000097

### VOLUNTARY FORFEITURE

It is hereby agreed by Cascade Risk Placement, Inc. and the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, John Huff is the duly appointed ~~Acting~~ Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri, (hereinafter, Director) whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Consumer Affairs Division of the Department of Insurance, Financial Institutions and Professional Registration is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo 2000 and is authorized by the Director to recommend

enforcement action under the laws relating to insurance;

WHEREAS, Cascade Risk Placement, Inc. has applied to renew its license as a non resident business entity producer with the Department of Insurance, Financial Institutions and Professional Registration, pursuant to Chapters 374 and 375, RSMo;

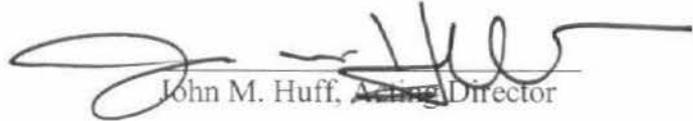
WHEREAS, information has been received by the Consumer Affairs Division which alleges that Cascade Risk Placement, Inc. failed to report an administrative action within thirty days of the final disposition of the matter, in violation of Section 375.141.6 RSMo (Cum. Supp. 2008), subjecting Cascade Risk Placement, Inc. to enforcement action by the Director;

WHEREAS, Cascade Risk Placement, Inc. has been informed of the nature of the violation, of its right to counsel and of its right to contest any attempt by the Department of Insurance, Financial Institutions and Professional Registration, to discipline its license, or to recover whatever penalties or other monetary relief as may be provided for by statute, and states that it understands its rights to contest any such actions;

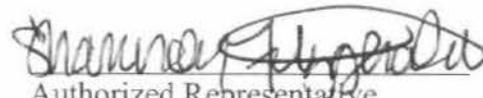
NOW, THEREFORE, in complete settlement of the investigation in File Number 09A000097, in lieu of the institution by the Department of Insurance, Financial Institutions and Professional Registration of any action to deny the renewal license of Cascade Risk Placement, Inc., or to impose any other penalties provided for by statute for the above-described violations, after being afforded the opportunity to consult legal counsel, Cascade Risk Placement, Inc. does hereby voluntarily and knowingly surrender and forfeit the sum of \$250.00, such sum to be paid into the Missouri State School Fund pursuant to Sections 374.046 and 374.280, RSMo.

Cascade Risk Placement, Inc. shall submit this sum to the Department of Insurance, Financial Institutions and Professional Registration, by cashier's check or money order made payable to the Missouri State School Fund no later than March 4, 2009.

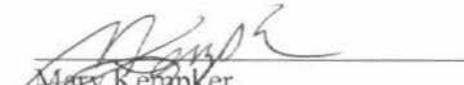
DATED: 4-1-09

  
John M. Huff, ~~Acting~~ Director

DATED: 3/20/09

  
Shannon Fitzgerald  
Authorized Representative  
Cascade Risk Placement, Inc.

DATED: 3/30/09

  
Mary Kempker,  
Director of Consumer Affairs

Please return original to:  
Karen Crutchfield  
PO Box 4001  
Jefferson City, MO 65102